

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.
▶ Go to www.irs.gov/Form990PF for instructions and the latest information.

For calendar year 2020 or tax year beginning

, and ending

| | | |
|---|---|--|
| Name of foundation George Hoag Family Foundation | | A Employer identification number 95-6006885 |
| Number and street (or P.O. box number if mail is not delivered to street address) 2665 Main Street, Suite 220 | Room/suite | B Telephone number (310) 664-1358 |
| City or town, state or province, country, and ZIP or foreign postal code Santa Monica, CA 90405 | | C If exemption application is pending, check here <input type="checkbox"/> |
| G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change | | D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> |
| H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation | | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> |
| I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 66,225,896. | J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/> |

| Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)</small> | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|--|------------------------------------|---------------------------|-------------------------|---|
| 1 Contributions, gifts, grants, etc., received | | | | N/A | |
| 2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B | | | | | |
| 3 Interest on savings and temporary cash investments | | 12. | 12. | | Statement 1 |
| 4 Dividends and interest from securities | | 782,804. | 782,804. | | Statement 2 |
| 5a Gross rents | | | | | |
| b Net rental income or (loss) | | | | | |
| 6a Net gain or (loss) from sale of assets not on line 10 | | 1,196,186. | | | |
| b Gross sales price for all assets on line 6a 9,750,201. | | | | | |
| 7 Capital gain net income (from Part IV, line 2) | | | 1,196,186. | | |
| 8 Net short-term capital gain | | | | | |
| 9 Income modifications | | | | | |
| 10a Gross sales less returns and allowances | | | | | |
| b Less: Cost of goods sold | | | | | |
| c Gross profit or (loss) | | | | | |
| 11 Other income | | | | | |
| 12 Total. Add lines 1 through 11 | | 1,979,002. | 1,979,002. | | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc. | 214,940. | 32,241. | | 182,699. |
| | 14 Other employee salaries and wages | 172,125. | 25,819. | | 146,306. |
| | 15 Pension plans, employee benefits | 23,886. | 3,583. | | 20,303. |
| | 16a Legal fees | | | | |
| | b Accounting fees | | | | |
| | c Other professional fees Stmt 3 | 202,280. | 174,034. | | 28,246. |
| | 17 Interest | | | | |
| | 18 Taxes Stmt 4 | 65,180. | 0. | | 180. |
| | 19 Depreciation and depletion | | | | |
| | 20 Occupancy | 37,800. | 5,670. | | 32,130. |
| | 21 Travel, conferences, and meetings | 2,879. | 432. | | 2,447. |
| | 22 Printing and publications | | | | |
| | 23 Other expenses Stmt 5 | 42,493. | 6,373. | | 36,120. |
| 24 Total operating and administrative expenses. Add lines 13 through 23 | 761,583. | 248,152. | | 448,431. | |
| 25 Contributions, gifts, grants paid | 2,585,000. | | | 2,675,343. | |
| 26 Total expenses and disbursements. Add lines 24 and 25 | 3,346,583. | 248,152. | | 3,123,774. | |
| 27 Subtract line 26 from line 12: | | | | | |
| a Excess of revenue over expenses and disbursements | -1,367,581. | | | | |
| b Net investment income (if negative, enter -0-) | | 1,730,850. | | | |
| c Adjusted net income (if negative, enter -0-) | | | N/A | | |

| Part II Balance Sheets | | Attached schedules and amounts in the description column should be for end-of-year amounts only. | | Beginning of year | End of year | |
|-----------------------------|---|--|----------------|-----------------------|-------------|-------------|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value | | |
| Assets | 1 | Cash - non-interest-bearing | | 55,189. | | |
| | 2 | Savings and temporary cash investments | | 585,978. | 230,711. | 230,711. |
| | 3 | Accounts receivable | | | | |
| | | Less: allowance for doubtful accounts | | | | |
| | 4 | Pledges receivable | | | | |
| | | Less: allowance for doubtful accounts | | | | |
| | 5 | Grants receivable | | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons | | | | |
| | 7 | Other notes and loans receivable | | | | |
| | | Less: allowance for doubtful accounts | | | | |
| | 8 | Inventories for sale or use | | | | |
| | 9 | Prepaid expenses and deferred charges | | | | |
| | 10a | Investments - U.S. and state government obligations | | | | |
| | b | Investments - corporate stock | | | | |
| | c | Investments - corporate bonds | | | | |
| | 11 | Investments - land, buildings, and equipment: basis | | | | |
| | Less: accumulated depreciation | | | | | |
| 12 | Investments - mortgage loans | | | | | |
| 13 | Investments - other | Stmt 7 | | 63,643,833. | 65,995,185. | 65,995,185. |
| 14 | Land, buildings, and equipment: basis | | | | | |
| | Less: accumulated depreciation | | | | | |
| 15 | Other assets (describe) | | | | | |
| 16 | Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I) | | | 64,285,000. | 66,225,896. | 66,225,896. |
| Liabilities | 17 | Accounts payable and accrued expenses | | | | |
| | 18 | Grants payable | | 90,343. | | |
| | 19 | Deferred revenue | | | | |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | | |
| | 21 | Mortgages and other notes payable | | | | |
| | 22 | Other liabilities (describe) | | | | |
| 23 | Total liabilities (add lines 17 through 22) | | | 90,343. | 0. | |
| Net Assets or Fund Balances | Foundations that follow FASB ASC 958, check here <input checked="" type="checkbox"/> and complete lines 24, 25, 29, and 30. | | | | | |
| | 24 | Net assets without donor restrictions | | 64,194,657. | 66,225,896. | |
| | 25 | Net assets with donor restrictions | | | | |
| | Foundations that do not follow FASB ASC 958, check here <input type="checkbox"/> and complete lines 26 through 30. | | | | | |
| | 26 | Capital stock, trust principal, or current funds | | | | |
| | 27 | Paid-in or capital surplus, or land, bldg., and equipment fund | | | | |
| | 28 | Retained earnings, accumulated income, endowment, or other funds | | | | |
| 29 | Total net assets or fund balances | | | 64,194,657. | 66,225,896. | |
| 30 | Total liabilities and net assets/fund balances | | | 64,285,000. | 66,225,896. | |

Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|--|---|-------------|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 29 (must agree with end-of-year figure reported on prior year's return) | 1 | 64,194,657. |
| 2 | Enter amount from Part I, line 27a | 2 | -1,367,581. |
| 3 | Other increases not included in line 2 (itemize) See Statement 6 | 3 | 3,398,820. |
| 4 | Add lines 1, 2, and 3 | 4 | 66,225,896. |
| 5 | Decreases not included in line 2 (itemize) | 5 | 0. |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 29 | 6 | 66,225,896. |

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (for example, real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|--|--------------------------------------|----------------------------------|
| 1a Various gains from sales of securities | P | 12/31/18 | 12/13/20 |
| b Charles Schwab & Co, Inc. (5528) Mutual | | | |
| c Funds-to report gross proceeds | P | 01/01/20 | 12/31/20 |
| d Charles Schwab & Co, Inc. (5528) Mutual | | | |
| e Funds-to report gross proceeds | P | 12/31/18 | 12/31/20 |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) ((e) plus (f) minus (g)) |
|-----------------------|--|---|--|
| a | | | 1,196,186. |
| b | | | |
| c 750,092. | | 750,092. | 0. |
| d | | | |
| e 9,000,109. | | 9,000,109. | 0. |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69.

| (i) FMV as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |
|------------------------|--------------------------------------|---|---|
| a | | | 1,196,186. |
| b | | | |
| c | | | 0. |
| d | | | |
| e | | | 0. |

| | | |
|---|---|------------|
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 | 2 | 1,196,186. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). See instructions. If (loss), enter -0- in Part I, line 8 | 3 | N/A |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

SECTION 4940(e) REPEALED ON DECEMBER 20, 2019 - DO NOT COMPLETE.

| 1 Reserved | (a) Reserved | (b) Reserved | (c) Reserved | (d) Reserved |
|------------------|-----------------|-----------------|-----------------|-----------------|
| Reserved | | | | |
| Reserved | | | | |
| Reserved | | | | |
| Reserved | | | | |
| Reserved | | | | |
| 2 Reserved | | | 2 | |
| 3 Reserved | | | 3 | |
| 4 Reserved | | | 4 | |
| 5 Reserved | | | 5 | |
| 6 Reserved | | | 6 | |
| 7 Reserved | | | 7 | |
| 8 Reserved | | | 8 | |

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), or 4948 - see instructions)

| | | | |
|--|----|---------|---------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions) | | | |
| b Reserved | | 1 | 24,059. |
| c All other domestic foundations enter 1.39% of line 27b. Exempt foreign organizations, enter 4% of Part I, line 12, col. (b) | | | |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-) | | 2 | 0. |
| 3 Add lines 1 and 2 | | 3 | 24,059. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only; others, enter -0-) | | 4 | 0. |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- | | 5 | 24,059. |
| 6 Credits/Payments: | | | |
| a 2020 estimated tax payments and 2019 overpayment credited to 2020 | 6a | 37,318. | |
| b Exempt foreign organizations - tax withheld at source | 6b | 0. | |
| c Tax paid with application for extension of time to file (Form 8868) | 6c | 0. | |
| d Backup withholding erroneously withheld | 6d | 0. | |
| 7 Total credits and payments. Add lines 6a through 6d | | 7 | 37,318. |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached | | 8 | 0. |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed | | 9 | |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid | | 10 | 13,259. |
| 11 Enter the amount of line 10 to be: Credited to 2021 estimated tax 13,259. Refunded | | 11 | 0. |

Part VII-A Statements Regarding Activities

| | Yes | No |
|---|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | | X |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes? See the instructions for the definition If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. | | X |
| c Did the foundation file Form 1120-POL for this year? | | X |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ 0. (2) On foundation managers. ▶ \$ 0. | | |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0. | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities. | | X |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes | | X |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? | | X |
| b If "Yes," has it filed a tax return on Form 990-T for this year? N/A | | |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by <i>General Instruction T</i> . | | X |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | X | |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV | X | |
| 8a Enter the states to which the foundation reports or with which it is registered. See instructions. ▶ CA | | |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If "No," attach explanation | X | |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2020 or the tax year beginning in 2020? See the instructions for Part XIV. If "Yes," complete Part XIV | | X |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | | X |

Part VII-A Statements Regarding Activities (continued)

| | Yes | No |
|---|-----|-----|
| 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule. See instructions | | X |
| 12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement. See instructions | | X |
| 13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>www.hoagfoundation.org</u> | X | |
| 14 The books are in care of ► <u>Michael B. Sedgwick, Treasurer</u> Telephone no. ► <u>(310) 395-8655</u> Located at ► <u>11601 Wilshire Boulevard, Ste. 500, Los Angeles,</u> ZIP+4 ► <u>90025</u> | | |
| 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - check here and enter the amount of tax-exempt interest received or accrued during the year | | N/A |
| 16 At any time during calendar year 2020, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country ► <u>Bermuda</u> | X | |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

| | Yes | No |
|---|-----|-----|
| 1a During the year, did the foundation (either directly or indirectly): | | |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No | | |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance? See instructions Organizations relying on a current notice regarding disaster assistance, check here | | X |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2020? | | X |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | |
| a At the end of tax year 2020, did the foundation have any undistributed income (Part XIII, lines 6d and 6e) for tax year(s) beginning before 2020? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years | | |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) | | N/A |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. | | |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b If "Yes," did it have excess business holdings in 2020 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Form 4720, Schedule C, to determine if the foundation had excess business holdings in 2020.) | | N/A |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | | X |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2020? | | X |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

| | | Yes | No |
|--|---|--|----|
| 5a During the year, did the foundation pay or incur any amount to: | | | |
| (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (3) Provide a grant to an individual for travel, study, or other similar purposes? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? See instructions | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance? See instructions | N/A | 5b | |
| Organizations relying on a current notice regarding disaster assistance, check here | <input type="checkbox"/> | | |
| c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? | N/A | <input type="checkbox"/> Yes <input type="checkbox"/> No | |
| If "Yes," attach the statement required by Regulations section 53.4945-5(d). | | | |
| 6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | | 6b | X |
| If "Yes" to 6b, file Form 8870. | | | |
| 7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? | N/A | 7b | |
| 8 Is the foundation subject to the section 4960 tax on payment(s) of more than \$1,000,000 in remuneration or excess parachute payment(s) during the year? | <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, and foundation managers and their compensation.

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|---|---|---|---------------------------------------|
| See Statement 8 | | 214,940. | 0. | 0. |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| Jeffrey R. Smith - 2665 Main Street, Ste. 220, Santa Monica, CA 90405 | Program Director 40.00 | 111,800. | 0. | 0. |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Total number of other employees paid over \$50,000 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|---|---------------------|------------------|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |

Total number of others receiving over \$50,000 for professional services 0

Part IX-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|--|----------|
| 1 N/A | |
| 2 | |
| 3 | |
| 4 | |

Part IX-B Summary of Program-Related Investments

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|---|--------|
| 1 N/A | |
| 2 | |
| 3 All other program-related investments. See instructions. | |

Total. Add lines 1 through 3 0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|---|----|-------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities | 1a | 61,961,034. |
| b | Average of monthly cash balances | 1b | 25,409. |
| c | Fair market value of all other assets | 1c | |
| d | Total (add lines 1a, b, and c) | 1d | 61,986,443. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e | 0. |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| 3 | Subtract line 2 from line 1d | 3 | 61,986,443. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 929,797. |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 61,056,646. |
| 6 | Minimum investment return. Enter 5% of line 5 | 6 | 3,052,832. |

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations, check here and do not complete this part.)

| | | | |
|----|---|----|------------|
| 1 | Minimum investment return from Part X, line 6 | 1 | 3,052,832. |
| 2a | Tax on investment income for 2020 from Part VI, line 5 | 2a | 24,059. |
| b | Income tax for 2020. (This does not include the tax from Part VI.) | 2b | |
| c | Add lines 2a and 2b | 2c | 24,059. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | 3 | 3,028,773. |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | 0. |
| 5 | Add lines 3 and 4 | 5 | 3,028,773. |
| 6 | Deduction from distributable amount (see instructions) | 6 | 0. |
| 7 | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7 | 3,028,773. |

Part XII Qualifying Distributions (see instructions)

| | | | |
|---|---|----|------------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 3,123,774. |
| b | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8; and Part XIII, line 4 | 4 | 3,123,774. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5 | 0. |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4 | 6 | 3,123,774. |

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2019 | (c) 2019 | (d) 2020 |
|--|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2020 from Part XI, line 7 | | | | 3,028,773. |
| 2 Undistributed income, if any, as of the end of 2020: | | | | |
| a Enter amount for 2019 only | | | 3,045,274. | |
| b Total for prior years: | | 0. | | |
| 3 Excess distributions carryover, if any, to 2020: | | | | |
| a From 2015 | | | | |
| b From 2016 | | | | |
| c From 2017 | | | | |
| d From 2018 | | | | |
| e From 2019 | | | | |
| f Total of lines 3a through e | 0. | | | |
| 4 Qualifying distributions for 2020 from Part XII, line 4: ▶ \$ 3,123,774. | | | | |
| a Applied to 2019, but not more than line 2a | | | 3,045,274. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required - see instructions) | 0. | | | |
| d Applied to 2020 distributable amount | | | | 78,500. |
| e Remaining amount distributed out of corpus | 0. | | | |
| 5 Excess distributions carryover applied to 2020 (If an amount appears in column (d), the same amount must be shown in column (a).) | 0. | | | 0. |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 | 0. | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b. Taxable amount - see instructions | | 0. | | |
| e Undistributed income for 2019. Subtract line 4a from line 2a. Taxable amount - see instr. | | | 0. | |
| f Undistributed income for 2020. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2021 | | | | 2,950,273. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) | 0. | | | |
| 8 Excess distributions carryover from 2015 not applied on line 5 or line 7 | 0. | | | |
| 9 Excess distributions carryover to 2021. Subtract lines 7 and 8 from line 6a | 0. | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2016 | | | | |
| b Excess from 2017 | | | | |
| c Excess from 2018 | | | | |
| d Excess from 2019 | | | | |
| e Excess from 2020 | | | | |

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2020, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

Table with 5 columns: (a) 2020, (b) 2019, (c) 2018, (d) 2017, (e) Total. Rows include 2a-e (Qualifying distributions) and 3a-d (Alternative tests).

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers: a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs: Check here [] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc., to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or email address of the person to whom applications should be addressed: See Statement 10

See Statement 9

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information *(continued)*

| 3 Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|---|---|--------------------------------|----------------------------------|----------------------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| Various - See Attached Schedule of Grants | None | Public Charity | See Attached Schedule of Grants | |
| Various - See Attached Schedule of Grants Various, CA 99999 | | | | 2,585,000. |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| Total | | | | 3a 2,585,000. |
| b Approved for future payment | | | | |
| None | | | | |
| | | | | |
| | | | | |
| | | | | |
| Total | | | | 3b 0. |

Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

| Source | (a) Revenue Per Books | (b) Net Investment Income | (c) Adjusted Net Income |
|-------------------------------------|-----------------------------|---------------------------------|-------------------------------|
| Charles Schwab & Co., Inc.(5528) | 12. | 12. | |
| Total to Part I, line 3 | 12. | 12. | |

Form 990-PF Dividends and Interest from Securities Statement 2

| Source | Gross Amount | Capital Gains Dividends | (a) Revenue Per Books | (b) Net Invest- ment Income | (c) Adjusted Net Income |
|---|-----------------|-------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| Interest and dividends from various | 782,804. | 0. | 782,804. | 782,804. | |
| To Part I, line 4 | 782,804. | 0. | 782,804. | 782,804. | |

Form 990-PF Other Professional Fees Statement 3

| Description | (a) Expenses Per Books | (b) Net Invest- ment Income | (c) Adjusted Net Income | (d) Charitable Purposes |
|---------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| Accounting and legal expense | 27,695. | 4,154. | | 23,541. |
| Investment counsel fees | 169,050. | 169,050. | | 0. |
| Consulting services | 5,535. | 830. | | 4,705. |
| To Form 990-PF, Pg 1, ln 16c | 202,280. | 174,034. | | 28,246. |

| Form 990-PF | Taxes | | | Statement | 4 |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|---|
| Description | (a) Expenses Per Books | (b) Net Invest- ment Income | (c) Adjusted Net Income | (d) Charitable Purposes | |
| State of CA taxes and fees | 180. | 0. | | 180. | |
| Federal excise tax | 65,000. | 0. | | 0. | |
| To Form 990-PF, Pg 1, ln 18 | 65,180. | 0. | | 180. | |

| Form 990-PF | Other Expenses | | | Statement | 5 |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|---|
| Description | (a) Expenses Per Books | (b) Net Invest- ment Income | (c) Adjusted Net Income | (d) Charitable Purposes | |
| Insurance expense | 25,676. | 3,851. | | 21,825. | |
| Dues and subscription | 5,515. | 827. | | 4,688. | |
| Telephone expense | 3,595. | 539. | | 3,056. | |
| Office expense | 7,707. | 1,156. | | 6,551. | |
| To Form 990-PF, Pg 1, ln 23 | 42,493. | 6,373. | | 36,120. | |

| Form 990-PF | Other Increases in Net Assets or Fund Balances | Statement | 6 |
|--|--|------------|---|
| Description | | Amount | |
| Unrealized gain on marketable securities | | 3,398,820. | |
| Total to Form 990-PF, Part III, line 3 | | 3,398,820. | |

| Form 990-PF | Other Investments | | Statement | 7 |
|--|---------------------|-------------|----------------------|---|
| Description | Valuation Method | Book Value | Fair Market Value | |
| REIT | FMV | 4,763,108. | 4,763,108. | |
| Mutual Funds-see attached schedule | FMV | 61,232,077. | 61,232,077. | |
| Total to Form 990-PF, Part II, line 13 | | 65,995,185. | 65,995,185. | |

California Exempt Organization Annual Information Return

Calendar Year 2020 or fiscal year beginning (mm/dd/yyyy) _____, and ending (mm/dd/yyyy) _____.

Corporation/Organization name: **GEORGE HOAG FAMILY FOUNDATION**

California corporation number: **0183116**

FEIN: **95-6006885**

Street address (suite or room): **2665 MAIN STREET, SUITE 220**

PMB no.:

City: **SANTA MONICA** State: **CA** ZIP code: **90405**

Foreign country name: Foreign province/state/country: Foreign postal code:

A First return Yes No

B Amended return Yes No

C IRC Section 4947(a)(1) trust Yes No

D Final information return?
 Dissolved Surrendered (Withdrawn) Merged/Reorganized
 Enter date: (mm/dd/yyyy) _____

E Check accounting method: (1) Cash (2) Accrual (3) Other

F Federal return filed? (1) 990T (2) 990PF (3) Sch H (990) (4) Other 990 series

G Is this a group filing? See instructions Yes No

H Is this organization in a group exemption Yes No
 If "Yes," what is the parent's name? _____

I Did the organization have any changes to its guidelines not reported to the FTB? See instructions Yes No

J If exempt under R&TC Section 23701d, has the organization engaged in political activities? See instructions. Yes No

K Is the organization exempt under R&TC Section 23701g? Yes No
 If "Yes," enter the gross receipts from nonmember sources \$ _____

L Is the organization a limited liability company? Yes No

M Did the organization file Form 100 or Form 109 to report taxable income? Yes No

N Is the organization under audit by the IRS or has the IRS audited in a prior year? Yes No

O Is federal Form 1023/1024 pending? Yes No
 Date filed with IRS _____

Part I Complete Part I unless not required to file this form. See General Information B and C.

| | | | | | |
|-----------------------|----|--|----|------------|----|
| Receipts and Revenues | 1 | Gross sales or receipts from other sources. From Side 2, Part II, line 8 | 1 | 10,533,017 | 00 |
| | 2 | Gross dues and assessments from members and affiliates | 2 | | 00 |
| | 3 | Gross contributions, gifts, grants, and similar amounts received | 3 | | 00 |
| | 4 | Total gross receipts for filing requirement test. Add line 1 through line 3. This line must be completed. If the result is less than \$50,000, see General Information B | 4 | 10,533,017 | 00 |
| | 5 | Cost of goods sold | 5 | | 00 |
| | 6 | Cost or other basis, and sales expenses of assets sold | 6 | 9,750,201 | 00 |
| | 7 | Total costs. Add line 5 and line 6 | 7 | 9,750,201 | 00 |
| | 8 | Total gross income. Subtract line 7 from line 4 | 8 | 782,816 | 00 |
| Expenses | 9 | Total expenses and disbursements. From Side 2, Part II, line 18 | 9 | 3,346,583 | 00 |
| | 10 | Excess of receipts over expenses and disbursements. Subtract line 9 from line 8 | 10 | -2,563,767 | 00 |
| Filing Fee | 11 | Total payments | 11 | | 00 |
| | 12 | Use tax. See General Information K | 12 | | 00 |
| | 13 | Payments balance. If line 11 is more than line 12, subtract line 12 from line 11 | 13 | | 00 |
| | 14 | Use tax balance. If line 12 is more than line 11, subtract line 11 from line 12 | 14 | | 00 |
| | 15 | Penalties and Interest. See General Information J | 15 | | 00 |
| | 16 | Balance due. Add line 12 and line 15. Then subtract line 11 from the result | 16 | | 00 |

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here
 Signature of officer: _____ Title: **SECRETARY** Date: _____ Telephone: **(310) 664-1358**

Paid Preparer's Use Only
 Preparer's signature: **OLESYA KURNOSOVA** Date: **05/05/21** Check if self-employed: PTIN: **P00567869**
 Firm's name (or yours, if self-employed) and address: **SEDGWICK & COMPANY**
11601 WILSHIRE BOULEVARD, SUITE 500
LOS ANGELES, CA 90025 Firm's FEIN: **95-4051410** Telephone: **(310) 395-8655**

May the FTB discuss this return with the preparer shown above? See instructions Yes No

Part II Organizations with gross receipts of more than \$50,000 and private foundations regardless of amount of gross receipts - complete Part II or furnish substitute information.

028951 12-22-20

| | | | | | | | |
|------------------------------------|-----------------------------------|--|---|----|------------|-----------|----|
| Receipts from Other Sources | 1 | Gross sales or receipts from all business activities. See instructions | • | 1 | | 00 | |
| | 2 | Interest | • | 2 | 12 | 00 | |
| | 3 | Dividends | • | 3 | 782,804 | 00 | |
| | 4 | Gross rents | • | 4 | | 00 | |
| | 5 | Gross royalties | • | 5 | | 00 | |
| | 6 | Gross amount received from sale of assets (See Instructions) STATEMENT 1 | • | 6 | 9,750,201 | 00 | |
| | 7 | Other income | • | 7 | | 00 | |
| | 8 | Total gross sales or receipts from other sources. Add line 1 through line 7. Enter here and on Side 1, Part I, line 1 | • | 8 | 10,533,017 | 00 | |
| | 9 | Contributions, gifts, grants, and similar amounts paid STATEMENT 2 | • | 9 | 2,585,000 | 00 | |
| | 10 | Disbursements to or for members | • | 10 | | 00 | |
| | 11 | Compensation of officers, directors, and trustees SEE STATEMENT 3 | • | 11 | 214,940 | 00 | |
| | 12 | Other salaries and wages | • | 12 | 172,125 | 00 | |
| | Expenses and Disbursements | 13 | Interest | • | 13 | | 00 |
| | | 14 | Taxes | • | 14 | 65,180 | 00 |
| | | 15 | Rents | • | 15 | 37,800 | 00 |
| | | 16 | Depreciation and depletion (See instructions) | • | 16 | | 00 |
| | | 17 | Other expenses and disbursements SEE STATEMENT 4 | • | 17 | 271,538 | 00 |
| | | 18 | Total expenses and disbursements. Add line 9 through line 17. Enter here and on Side 1, Part I, line 9 | • | 18 | 3,346,583 | 00 |

| Schedule L Balance Sheet | | Beginning of taxable year | | End of taxable year | |
|----------------------------------|---|---------------------------|------------|---------------------|------------|
| | | (a) | (b) | (c) | (d) |
| Assets | | | | | |
| 1 | Cash | | 641,167 | | 230,711 |
| 2 | Net accounts receivable | | | | |
| 3 | Net notes receivable | | | | |
| 4 | Inventories | | | | |
| 5 | Federal and state government obligations | | | | |
| 6 | Investments in other bonds | | | | |
| 7 | Investments in stock | | | | |
| 8 | Mortgage loans | | | | |
| 9 | Other investments STMT 5 | | 63,643,833 | | 65,995,185 |
| 10 | a Depreciable assets | | | | |
| | b Less accumulated depreciation | () | () | | |
| 11 | Land | | | | |
| 12 | Other assets | | | | |
| 13 | Total assets | | 64,285,000 | | 66,225,896 |
| Liabilities and net worth | | | | | |
| 14 | Accounts payable | | | | |
| 15 | Contributions, gifts, or grants payable | | 90,343 | | |
| 16 | Bonds and notes payable | | | | |
| 17 | Mortgages payable | | | | |
| 18 | Other liabilities | | | | |
| 19 | Capital stock or principal fund | | | | |
| 20 | Paid-in or capital surplus. Attach reconciliation | | | | |
| 21 | Retained earnings or income fund | | 64,194,657 | | 66,225,896 |
| 22 | Total liabilities and net worth | | 64,285,000 | | 66,225,896 |

| Schedule M-1 Reconciliation of income per books with income per return | | | | | |
|--|--|--------------|----|---|------------|
| Do not complete this schedule if the amount on Schedule L, line 13, column (d), is less than \$50,000. | | | | | |
| 1 | Net income per books | • -2,563,767 | 7 | Income recorded on books this year not included in this return | • |
| 2 | Federal income tax | • | 8 | Deductions in this return not charged against book income this year | • |
| 3 | Excess of capital losses over capital gains | • | 9 | Total. Add line 7 and line 8 | |
| 4 | Income not recorded on books this year | • | 10 | Net income per return. | |
| 5 | Expenses recorded on books this year not deducted in this return | • | | Subtract line 9 from line 6 | -2,563,767 |
| 6 | Total. Add line 1 through line 5 | -2,563,767 | | | |

CA 199 Gross Amount From Sale of Investment Property Statement 1

| <u>Description</u> | <u>Date Acquired</u> | <u>Date Sold</u> | <u>Method Acquired</u> | | |
|--|--------------------------------|----------------------|----------------------------|------------------------------|--|
| Various gains from sales of securities | 12/31/18 | 12/13/20 | Purchased | | |
| | <u>Cost or Other Basis</u> | <u>Deprec.</u> | <u>Expense of Sale</u> | <u>Gross Sales Price</u> | |
| | 0. | 0. | 0. | 0. | |

| <u>Description</u> | <u>Date Acquired</u> | <u>Date Sold</u> | <u>Method Acquired</u> | | |
|---|--------------------------------|----------------------|----------------------------|------------------------------|--|
| Charles Schwab & Co, Inc. (5528) Mutual Funds-to report gross proceeds | 01/01/20 | 12/31/20 | Purchased | | |
| | <u>Cost or Other Basis</u> | <u>Deprec.</u> | <u>Expense of Sale</u> | <u>Gross Sales Price</u> | |
| | 750,092. | 0. | 0. | 750,092. | |

| <u>Description</u> | <u>Date Acquired</u> | <u>Date Sold</u> | <u>Method Acquired</u> | | |
|---|--------------------------------|----------------------|----------------------------|------------------------------|--|
| Charles Schwab & Co, Inc. (5528) Mutual Funds-to report gross proceeds | 12/31/18 | 12/31/20 | Purchased | | |
| | <u>Cost or Other Basis</u> | <u>Deprec.</u> | <u>Expense of Sale</u> | <u>Gross Sales Price</u> | |
| | 9,000,109. | 0. | 0. | 9,000,109. | |

| | | | | | |
|---------------------------------|-------------------|-----------|-----------|-------------------|--|
| Total on Form 199, Pg 2, line 6 | <u>9,750,201.</u> | <u>0.</u> | <u>0.</u> | <u>9,750,201.</u> | |
|---------------------------------|-------------------|-----------|-----------|-------------------|--|

CA 199 Compensation of Officers, Directors and Trustees Statement 3

| <u>Name and Address</u> | <u>Title and Average Hrs Worked/Wk</u> | <u>Compensation</u> |
|---|--|----------------------|
| Melinda Hoag Smith 2665 Main Street, Ste. 220 Santa Monica, CA 90405 | President/CEO/Director 12.00 | 36,000. |
| Gwyn P. Parry 2665 Main Street, Ste. 220 Santa Monica, CA 90405 | Director 2.00 | 3,000. |
| Michael B. Sedgwick 2665 Main Street, Ste. 220 Santa Monica, CA 90405 | Treasurer 10.00 | 33,440. |
| Charles W. Smith 2665 Main Street, Ste. 220 Santa Monica, CA 90405 | Secretary/Executive Direct 40.00 | 124,500. |
| Michael D. Stephens 2665 Main Street, Ste. 220 Santa Monica, CA 90405 | Director 2.00 | 3,000. |
| John L. Curci 2665 Main Street, Ste. 220 Santa Monica, CA 90405 | Director 2.00 | 3,000. |
| John G. Ebey 2665 Main Street, Ste. 220 Santa Monica, CA 90405 | Director 2.00 | 3,000. |
| Gregory G. Hoag 2665 Main Street, Ste. 220 Santa Monica, CA 90405 | Director 2.00 | 3,000. |
| Keith W. Smith 2665 Main Street, Ste. 220 Santa Monica, CA 90405 | Director 2.00 | 3,000. |
| Daniel G. Smith 2665 Main Street, Ste. 220 Santa Monica, CA 90405 | Director 2.00 | 3,000. |
| Total to Form 199, Part II, line 11 | | <hr/> 214,940. <hr/> |

| CA 199 | Other Expenses | Statement | 4 |
|-------------------------------------|----------------|---------------|---|
| <u>Description</u> | | <u>Amount</u> | |
| Pension plans, employee benefits | | 23,886. | |
| Other professional fees | | 202,280. | |
| Travel, conferences, and meetings | | 2,879. | |
| Insurance expense | | 25,676. | |
| Dues and subscription | | 5,515. | |
| Telephone expense | | 3,595. | |
| Office expense | | 7,707. | |
| Total to Form 199, Part II, line 17 | | 271,538. | |

| CA 199 | Other Investments | Statement | 5 |
|---------------------------------------|-------------------|---------------------|--------------------|
| <u>Description</u> | | <u>Beg. of Year</u> | <u>End of Year</u> |
| REIT | | 4,852,328. | 4,763,108. |
| Mutual Funds-see attached schedule | | 58,791,505. | 61,232,077. |
| Total to Form 199, Schedule L, line 9 | | 63,643,833. | 65,995,185. |

| CA 199 | Fund Balances | Statement | 6 |
|--|---------------|---------------------|--------------------|
| <u>Description</u> | | <u>Beg. of Year</u> | <u>End of Year</u> |
| Net assets without donor restrictions | | 64,194,657. | 66,225,896. |
| Total to Form 199, Schedule L, line 21 | | 64,194,657. | 66,225,896. |

**ANNUAL REGISTRATION RENEWAL FEE REPORT
 TO ATTORNEY GENERAL OF CALIFORNIA**
 Sections 12586 and 12587, California Government Code
 11 Cal. Code Regs. sections 301-306, 309, 311, and 312

(For Registry Use Only)

Failure to submit this report annually no later than four months and fifteen days after the end of the organization's accounting period may result in the loss of tax exemption and the assessment of a minimum tax of \$800, plus interest, and/or fines or filing penalties. Revenue & Taxation Code section 23703; Government Code section 12586.1. IRS extensions will be honored.

| | |
|---|---|
| <p><u>GEORGE HOAG FAMILY FOUNDATION</u> Name of Organization</p> <hr/> <p>List all DBAs and names the organization uses or has used</p> <p><u>2665 MAIN STREET, SUITE 220</u> Address (Number and Street)</p> <p><u>SANTA MONICA, CA 90405</u> City or Town, State, and ZIP Code</p> <p><u>ADMIN@HOAGFOUNDATION. OR</u> E-mail Address</p> <p><u>(310) 664-1358</u> Telephone Number</p> | <p>Check if: <input type="checkbox"/> Change of address <input type="checkbox"/> Amended report</p> <hr/> <p>State Charity Registration Number <u>CT01124</u></p> <p>Corporation or Organization No. <u>C0183116</u></p> <p>Federal Employer ID No. <u>95-6006885</u></p> |
|---|---|

ANNUAL REGISTRATION RENEWAL FEE SCHEDULE (11 Cal. Code Regs. sections 301-307, 311, and 312)
 Make Check Payable to Department of Justice

| Gross Annual Revenue | Fee | Gross Annual Revenue | Fee | Gross Annual Revenue | Fee |
|--------------------------------|------|-----------------------------------|------|---------------------------------------|-------|
| Less than \$25,000 | 0 | Between \$100,001 and \$250,000 | \$50 | Between \$1,000,001 and \$10 million | \$150 |
| Between \$25,000 and \$100,000 | \$25 | Between \$250,001 and \$1 million | \$75 | Between \$10,000,001 and \$50 million | \$225 |
| | | | | Greater than \$50 million | \$300 |

PART A - ACTIVITIES

For your most recent full accounting period (beginning 01/01/2020 ending 12/31/2020) list:

| | | |
|--|------------------------------------|-----------------------------------|
| Gross Annual Revenue \$ <u>1,979,002</u> | Noncash Contributions \$ <u>0</u> | Total Assets \$ <u>66,225,896</u> |
| Program Expenses \$ <u>761,583</u> | Total Expenses \$ <u>3,346,583</u> | |

PART B - STATEMENTS REGARDING ORGANIZATION DURING THE PERIOD OF THIS REPORT

Note: All questions must be answered. If you answer "yes" to any of the questions below, you must attach a separate page providing an explanation and details for each "yes" response. Please review RRF-1 instructions for information required.

| | Yes | No |
|--|-----|----|
| 1. During this reporting period, were there any contracts, loans, leases or other financial transactions between the organization and any officer, director or trustee thereof, either directly or with an entity in which any such officer, director or trustee had any financial interest? | | X |
| 2. During this reporting period, was there any theft, embezzlement, diversion or misuse of the organization's charitable property or funds? | | X |
| 3. During this reporting period, were any organization funds used to pay any penalty, fine or judgment? | | X |
| 4. During this reporting period, were the services of a commercial fundraiser, fundraising counsel for charitable purposes, or commercial coventurer used? | | X |
| 5. During this reporting period, did the organization receive any governmental funding? | | X |
| 6. During this reporting period, did the organization hold a raffle for charitable purposes? | | X |
| 7. Does the organization conduct a vehicle donation program? | | X |
| 8. Did the organization conduct an independent audit and prepare audited financial statements in accordance with generally accepted accounting principles for this reporting period? | | X |
| 9. At the end of this reporting period, did the organization hold restricted net assets, while reporting negative unrestricted net assets? | | X |

I declare under penalty of perjury that I have examined this report, including accompanying documents, and to the best of my knowledge and belief, the content is true, correct and complete, and I am authorized to sign.

| | |
|-------------------------------|------------------|
| <u>CHARLES W. SMITH</u> | <u>SECRETARY</u> |
| Signature of Authorized Agent | Title |
| Printed Name | Date |

Advanced Research Alpha Fund, Ltd.

Shareholder Account Statement
As of December 31, 2020

Charles Schwab & Co Inc as custodian for George Hoag Family Foundation

| | Month to Date 12/1/2020 to 12/31/2020 | Year to Date 1/1/2020 to 12/31/2020 | Inception to Date 9/1/2015 to 12/31/2020 |
|----------------------|---|---|--|
| Beginning net equity | \$ 16,335,150.35 | \$ 16,720,111.70 | \$ - |
| Equity transactions | | | |
| Equity contributions | - | - | 13,200,000.00 |
| Equity redemptions | (550,000.00) | (550,000.00) | (550,000.00) |
| Investor earnings | 1,097,030.59 | 712,069.24 | 4,232,180.94 |
| Ending net equity | <u>\$ 16,882,180.94</u> | <u>\$ 16,882,180.94</u> | <u>\$ 16,882,180.94</u> |
| Net rate of return | 6.72% | 4.26% | 32.06% |
| Number of shares | | | 12,783.528875 |
| Price per share | | | 1,320.61976826 |

Investor: csmith@hoagfoundation.org

cc: mbscpa1@gmail.com

[ARIS, AIBPO@dstsystems.com](mailto:ARIS,AIBPO@dstsystems.com)

[ARIS, alternativeinvestmentdept@schwab.com](mailto:ARIS,alternativeinvestmentdept@schwab.com)

[Olesya Kurnosova, olesya@sedgwickcompany.com](mailto:Olesya Kurnosova,olesya@sedgwickcompany.com)

The financial information and performance data contained in this report represents unaudited financial information and may be subject to future adjustment and revision. Past performance is not a guarantee of future performance.



Investment Detail - Cash and Bank Sweep

| Cash | Starting Balance | Ending Balance | % of Account Assets |
|-------------------|------------------|------------------|---------------------|
| Cash | 12,279.40 | 12,608.98 | <1% |
| Total Cash | 12,279.40 | 12,608.98 | <1% |

| Bank Sweep | Starting Balance | Ending Balance | % of Account Assets |
|---------------------------|------------------|-------------------|---------------------|
| Bank Sweep ^{x,z} | 31,739.65 | 224,533.30 | <1% |
| Total Bank Sweep | 31,739.65 | 224,533.30 | <1% |

| | | | |
|----------------------------------|--|-------------------|---------------|
| Total Cash and Bank Sweep | | 237,142.28 | <1% |
|----------------------------------|--|-------------------|---------------|

Investment Detail - Equities

| Equities | Quantity | Market Price | Market Value <i>Cost Basis</i> | % of Account Assets | Unrealized Gain or (Loss) | Estimated Yield | Estimated Annual Income |
|---------------------------------------|------------------------|--------------------------|---|---------------------|---------------------------|-----------------|-------------------------|
| ADVANCED RESEARCH ALPHAF ⁷ | 15,904,234.8500 | 1.00000 | 15,904,234.85 | 27% | N/A ⁱ | N/A | N/A |
| FUND LTDBRITISH VIRGIN I SLANDS | | | <i>please provide ⁱ</i> | | | | |
| Total Equities | 15,904,234.8500 | | 15,904,234.85 | 27% | N/A ⁱ | | N/A |
| | | Total Cost Basis: | <i>please provide ⁱ</i> | | | | |

Estimated Annual Income ("EAI") and Estimated Yield ("EY") calculations are for informational purposes only. The actual income and yield might be lower or higher than the estimated amounts. EY is based upon EAI and the current price of the security and will fluctuate. For certain types of securities, the calculations could include a return of principal or capital gains in which case EAI and EY would be overstated. EY and EAI are not promptly updated to reflect when an issuer has missed a regular payment or announced changes to future payments, in which case EAI and EY will continue to display at a prior rate.



Investment Detail - Exchange Traded Funds

| | Quantity | Market Price | Market Value <i>Cost Basis</i> | % of Account Assets | Unrealized Gain or (Loss) | Estimated Yield | Estimated Annual Income |
|---|---------------------|--------------------------|--|---------------------------|------------------------------|--------------------|-------------------------------|
| Exchange Traded Funds | | | | | | | |
| RPAR RISK PARITY TIDAL ◊ ETF SYMBOL: RPAR | 260,133.9631 | 23.80000 | 6,191,188.32 5,844,326.94 | 10% | 346,861.38 | 1.27% | 78,656.19 |
| Schwab US TIPS ETF SYMBOL: SCHP | 34,323.0000 | 62.08000 | 2,130,771.84 1,941,242.68 | 4% | 189,529.16 | 2.43% | 51,937.56 |
| SPDR GOLD MINISHARES ETV SYMBOL: GLDM | 174,148.0000 | 18.94000 | 3,298,363.12 2,544,302.28 | 6% | 754,060.84 | N/A | N/A |
| VANGUARD DIVIDEND APPRECIATION ETF SYMBOL: VIG | 85,535.5204 | 141.17000 | 12,075,049.41 7,293,581.43 [†] | 20% | 4,781,467.98 | 1.88% | 227,319.20 |
| VANGUARD EXTENDED DURATION ETF SYMBOL: EDV | 10,938.0000 | 152.30000 | 1,665,857.40 1,366,463.56 | 3% | 299,393.84 | 2.02% | 33,702.17 |
| VANGUARD FTSE DEVELOPED MARKETS ETF SYMBOL: VEA | 110,034.5681 | 47.21000 | 5,194,731.96 4,296,962.18 | 9% | 897,769.78 | 3.51% | 182,525.34 |
| VANGUARD FTSE EMERGING MARKETS ETF SYMBOL: VWO | 162,448.2490 | 50.11000 | 8,140,281.76 6,466,465.34 | 14% | 1,673,816.42 | 2.40% | 195,522.71 |
| Total Exchange Traded Funds | 837,561.3006 | | 38,696,243.81 | 65% | 8,942,899.40 | | 769,663.17 |
| | | Total Cost Basis: | 29,753,344.41 | | | | |

Estimated Annual Income ("EAI") and Estimated Yield ("EY") calculations are for informational purposes only. The actual income and yield might be lower or higher than the estimated amounts. EY is based upon EAI and the current price of the security and will fluctuate. For certain types of securities, the calculations could include a return of principal or capital gains in which case EAI and EY would be overstated. EY and EAI are not promptly updated to reflect when an issuer has missed a regular payment or announced changes to future payments, in which case EAI and EY will continue to display at a prior rate.



Investment Detail - Mutual Funds

| Bond Funds | Quantity | Market Price | Market Value | Cost Basis | Unrealized Gain or (Loss) | % of Account Assets |
|--|---------------------|--------------|---------------------|---------------------|---------------------------|---------------------|
| DOUBLELINE TOTAL RETURN BOND I SYMBOL: DBLTX | 428,764.9170 | 10.70000 | 4,587,784.61 | 4,656,876.73 | (69,092.12) | 8% |
| Total Bond Funds | 428,764.9170 | | 4,587,784.61 | 4,656,876.73 | (69,092.12) | 8% |

| Equity Funds | Quantity | Market Price | Market Value | Cost Basis | Unrealized Gain or (Loss) | % of Account Assets |
|---|-------------------|--------------|-------------------|-------------------|---------------------------|---------------------|
| STONE RIDGE TR II RNS RSK PR INT SYMBOL: SRRIX | 4,423.8430 | 40.47000 | 179,032.93 | 205,659.80 | (26,626.87) | <1% |
| Total Equity Funds | 4,423.8430 | | 179,032.93 | 205,659.80 | (26,626.87) | <1% |

| | | | | | | |
|---------------------------|---------------------|--|---------------------|---------------------|--------------------|-----------|
| Total Mutual Funds | 433,188.7600 | | 4,766,817.54 | 4,862,536.53 | (95,718.99) | 8% |
|---------------------------|---------------------|--|---------------------|---------------------|--------------------|-----------|

| | |
|--------------------------------|----------------------------------|
| Total Investment Detail | 59,604,438.48 |
| Total Account Value | 59,604,438.48 |
| Total Cost Basis | 34,615,880.94ⁱ |

Realized Gain or (Loss)

| Long Term | Quantity/Par | Acquired/ Opened | Sold/ Closed | Total Proceeds | Cost Basis | Realized Gain or (Loss) |
|--|--------------|------------------|--------------|----------------|------------|-------------------------|
| DOUBLELINE TOTAL RETURN BOND I : DBLTX | 3,741.8150 | 09/25/14 | 12/02/20 | 40,000.00 | 41,085.13 | (1,085.13) |
| DOUBLELINE TOTAL RETURN BOND I : DBLTX | 2,366.6980 | 09/25/14 | 12/08/20 | 25,300.00 | 25,986.34 | (686.34) |

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.


RREEF America REIT II

Quarter Ended December 31, 2020

George Hoag Family Foundation

Board Approved - Unaudited

Statement of Account

| | <u>Transaction Date</u> | <u>Amount</u> | <u>Number of Shares</u> | <u>Per Share</u> ⁽¹⁾ |
|---|-----------------------------|-----------------------|-----------------------------|---------------------------------|
| Prior Period Ending Market Value | 09/30/2020 | \$4,764,880.65 | 38,099.7444 | \$ 125.06 |
| Contribution - Reinvested Distributions | | 0.00 | 0.00 | \$ 0.00 |
| Contribution - Capital Calls | | 0.00 | 0.0000 | \$ 0.00 |
| Distribution - Income | 12/31/2020 | (39,074.03) | | |
| Distribution - Return of Capital | | 0.00 | | |
| Distribution - Realized Gain | | 0.00 | | |
| Net Income Before Fees | | 49,152.82 | | |
| Realized Gain (Loss) | | 1,799.20 | | |
| Unrealized Gain (Loss) | | (2,296.87) | | |
| Redemptions | | 0.00 | 0.0000 | \$ 0.00 |
| Ending Market Value - Before Fees | | \$4,774,461.77 | | |
| Management Fees | | (11,354.02) | | |
| Incentive Fees | | 0.00 | | |
| Ending Market Value - After Fees | 12/31/2020 | \$4,763,107.75 | 38,099.7444 | \$ 125.02 |
| Distribution - Income - To be Reinvested | | 0.00 | 0.0000 | \$ 0.00 |
| Distribution - Return of Capital - To be Reinvested | | 0.00 | 0.0000 | \$ 0.00 |
| Distribution - Realized Gain - To be Reinvested | | 0.00 | 0.0000 | \$ 0.00 |
| Ending Market Value plus Reinvestments | | \$4,763,107.75 | 38,099.7444 | \$ 125.02 |
| Distribution - Income - To be Paid | 01/29/2021 | 39,074.03 | | |
| Distribution - Return of Capital - To be Paid | | 0.00 | | |
| Distribution - Realized Gain - To be Paid | | 0.00 | | |
| Ending Market Value plus Reinvestments & Distributions | | \$4,802,181.78 | | |

Client Fund Performance (based on market value)

| | <u>Current Quarter</u> | <u>12 Months Ended December 31, 2020</u> | <u>Since Inception (April 15, 2005)</u> |
|--|------------------------|--|---|
| Time Weighted Total Return Before Fees | 1.0% | 2.1% | 7.2% |
| Time Weighted Total Return After Management Fees | 0.8% | 1.1% | 6.5% |
| Time Weighted Total Return After Management and Incentive Fees | 0.8% | 1.1% | 6.3% |
| NCREIF Property Index | 1.1% | 1.6% | 7.8% |
| NCREIF Fund Index ODCE - Gross | 1.3% | 1.2% | 7.1% |
| NCREIF Fund Index ODCE - Net | 1.1% | 0.3% | 6.1% |

(1) Per share amounts are rounded.

(2) Not Applicable.

(3) Not Applicable.

(4) Not Applicable.

(5) Not Applicable.

(6) Not Applicable.

Past performance is not indicative of future results.

GEORGE HOAG FAMILY FOUNDATION
Federal Excise Tax Return - Form 990-PF
April 2020 Grants

| | | | |
|----|--|----------|--|
| 1. | 2-1-1 Orange County 1505 E. 17th Street, Suite 108 Santa Ana,CA 92705 | \$25,000 | Operational support of 211OC's programs to connect vulnerable individuals with resources and reduce homelessness in Orange County. |
| 2. | 5cities Homeless Coalition P.O. Box 558 (1566 W. Grand Ave.) Grover Beach, Ca 93483 | \$15,000 | Operational support of 5Cities, which provides housing and supportive services to homeless and those at risk of homelessness in SLO County. |
| 3. | Allies for Every Child 5721 W. Slauson Avenue, Suite 140 Culver City,CA 90230 | \$20,000 | In support of the Early Childhood Mental Health (ECMH) program, which provides trauma-informed care for abused or neglected children. |
| 4. | Blind Children's Learning Center of Orange County 18542-B Vanderlip Avenue Santa Ana,CA 92705 | \$20,000 | In support of the Global Infant Development Program, providing early intervention, home-based educational, therapeutic and support services for low-income blind and visually impaired children. |
| 5. | Casa Pacifica Centers for Children & Families 1722 S. Lewis Road Camarillo,CA 93012 | \$20,000 | In support of Casa Pacifica's residential substance abuse treatment program for adolescent foster youth with complex mental health challenges. |
| 6. | Casa Teresa P. O. Box 429 Orange,California 92856 | \$20,000 | In support of Casa Teresa's efforts to provide certified trauma informed care to the homeless pregnant women that they serve. |
| 7. | Community Action Partnership of Orange County 11870 Monarch St Garden Grove,CA 92841-2113 | \$10,000 | General operating support of CAP OC which provides food and supportive services for individuals and families through it's regional food bank and resource centers. |
| 8. | First Place for Youth 3530 Wilshire Boulevard, suite 600 Los Angeles,CA - California 90010 | \$10,000 | Operational support of the My First Place program, which provides housing, education, career services and case management for transitional age at risk foster youth. |
| 9. | Food Forward 7412 Fulton Ave #3 North Hollywood,CA 91605 | \$20,000 | Operational support towards obtaining produce to benefit local hunger relief programs in Los Angeles and Ventura Counties. |

GEORGE HOAG FAMILY FOUNDATION
Federal Excise Tax Return - Form 990-PF
April 2020 Grants

| | | | |
|-----|--|----------|---|
| 10. | Foodbank of Santa Barbara County 4554 Hollister Avenue Santa Barbara,CA 93110 | \$15,000 | In support of the Brown Bag Program, which provides low-income seniors with protein-rich, shelf-stable foods and fresh fruits and vegetables, to supplement their food budgets. |
| 11. | Harvest Home 2118 Wilshire Blvd., PMB 358 Santa Monica,CA 90403 | \$10,000 | Capital funding towards an additional residential program site which will provide shelter and comprehensive programs for homeless pregnant young women. |
| 12. | Hoag Hospital 330 Placentia Avenue, Suite 100 Newport Beach, CA 92663 | \$90,343 | In support of the Melinda Hoag Smith Center for Healthy Living |
| 13. | Imagine Los Angeles Inc. 672 South Lafayette Park Place, Suite 28 & 29 Los Angeles,California 90057 | \$15,000 | In support of the Family Empowerment and Mentorship program which provides clinical case management and mentorship to previously homeless families in transitional and permanent housing. |
| 14. | Keck School of Medicine of USC 1975 Zonal Avenue Los Angeles,CA 90089-9026 | \$25,000 | Funding will be used towards expanding transportation resources and the medical equipment and supply needs for the ongoing KSOM Street Medicine program. |
| 15. | MAPS Charities 14320 Ventura Blvd., #331 Sherman Oaks,CA 91423 | \$10,000 | In support of MAPS Senior Safety Net Program which provides vulnerable seniors with food, rental assistance, medical equipments and other resources. |
| 16. | My Friend's Place PO Box 3867 Hollywood,CA 90078 | \$15,000 | Funding will support MFP programs which provide homeless youth with access to food, clothing, showers, case management and educational opportunities. |
| 17. | New Directions For Veterans 11303 Wilshire Blvd., VA Bldg 116 Los Angeles,CA 90025 | \$20,000 | Funding will support New Directions for Veterans housing and social services programs for homeless veterans. |
| 18. | OC Gateway to Housing 1300 Bristol Street, Suite 100 Newport Beach,CA 92660 | \$15,000 | In support of the OC Gateway's Bridge Housing program, which provides housing, case management and mental health services for homeless families. |

GEORGE HOAG FAMILY FOUNDATION
Federal Excise Tax Return - Form 990-PF
April 2020 Grants

| | | | |
|-----|---|----------|--|
| 19. | Orange County United Way 18012 Mitchell South Irvine,CA 92614 | \$50,000 | Funding will support the United Way's United to End Homelessness program and the Pandemic Relief Fund for immediate, emergency needs in homeless prevention. |
| 20. | Project Dignity 12913 Harbor Blvd. Suite Q3, #253 Garden Grove, CA 92840 | \$5,000 | In support of Project Dignity's Bumper to Bumper program. |
| 21. | Proyecto Pastoral 135 North Mission Road Los Angeles,CA 90033-2101 | \$15,000 | Funding will support the Guadalupe Homeless Project, which provides shelter, meals and supportive services to underserved men and women. |
| 22. | Saban Community Clinic 8405 Beverly Blvd Los Angeles,CA 90048 | \$15,000 | In support of SCC's Homeless Healthcare Services program, which provides comprehensive medical, dental, mental health and supportive services. |
| 23. | Serve the People 1206 E 17th St Ste 101 Santa Ana,California 92701-2641 | \$15,000 | In support of the STP Food Pantry's Taco Truck which is providing meals to the Courtyard homeless shelter in Santa Ana. |
| 24. | Serving Kids Hope 2100 West Alton Avenue Santa Ana, CA 92704 | \$20,000 | Operational support of Serving Kids Hope, which provides nutrition and wellness services focused on obesity prevention and treatment to low income children. |
| 25. | Share Our Selves Corporation 1550 Superior Avenue Costa Mesa, CA 92627 | \$20,000 | Funding will be used towards the Whole Person Care program. |
| 26. | Step Up 1328 Second Street Santa Monica,California 90401 | \$45,000 | Operational support of Step Up, which provides housing and supportive services to individuals experiences mental health conditions and homelessness in Los Angeles County. |
| 27. | The UCLA Foundation UCLA Medical Center, Santa Monica PO Box 7145 | \$10,000 | In support of the child care needs of UCLA medical professionals and as part |

GEORGE HOAG FAMILY FOUNDATION
Federal Excise Tax Return - Form 990-PF
April 2020 Grants

| | | | |
|-----|--|-----------|--|
| | Pasadena, CA 91109-99033 | | of the ongoing COVID-19 emergency response. |
| 28. | Union Rescue Mission 545 South San Pedro Street Los Angeles, CA 90013 | \$150,000 | In support of URM's Campaign to Multiple Hope, specifically towards the construction of Angeles House which will provide housing and supportive services for 86 families. |
| 29. | Wayfinder Family Services 5300 Angeles Vista Blvd. Los Angeles, CA 90043 | \$30,000 | Funding will support the Cottage and Short Term Residential Program which provides round the clock therapeutic care for foster children with severe medical and emotional needs ages zero to 19. |
| 30. | Women's Transitional Living Center, Inc. PO Box 916 Fullerton, CA 92836 | \$20,000 | In support of WTLC's Bridge Housing Program, which provides victims of domestic violence with transitional housing and supportive services. |
| 31. | Youth Emerging Stronger 1857 Taft Avenue Los Angeles, California 90028 | \$20,000 | Operational support of YES's emergency shelter, transitional living and group homes for at-risk homeless youth ages 12-17. |
| | | \$790,343 | |

GEORGE HOAG FAMILY FOUNDATION
Federal Excise Tax Return - Form 990-PF
June 2020 Grants

| | | | |
|----|--|-----------------|---|
| 1. | Bonner Community Food Bank 1707 Culvers Drive Sandpoint, Idaho 83864 | \$15,000 | Funding will be used towards the purchase of pallet shelving for the warehouse and the purchase of non-perishable food to be distributed to individuals and families in need. |
| 2. | Downtown Women's Center (DWC) 442 S. San Pedro St. Los Angeles, CA 90013 | \$30,000 | In support of the DWC's drop-in day center's Basic Needs and Resources Program for women experiencing homelessness and extreme poverty in Los Angeles Center. |
| 3. | French Hospital Medical Center Foundation 1911 Johnson Avenue San Luis Obispo, CA 93401 | \$25,000 | Funding will support the COVID-19 Emergency Support Fund, which provides medical supplies and care for homeless, displaced, and low-income individuals and provides a safe place to recover or self-isolate. |
| 4. | Hoag Hospital 330 Placentia Avenue, Suite 100 Newport Beach, CA 92663 | \$15,000 | In support of the Melinda Hoag Smith Center for Healthy Living |
| 5. | Los Angeles Christian Health Centers 202 W 1st Street, Suite 4-0435 Los Angeles, CA 90012 | \$30,000 | Operational support of Los Angeles Christian Health Centers, which continues to meet the health needs of its underserved communities during the COVID-19 public health crisis. |
| 6. | Los Angeles Regional Food Bank 1734 East 41st Street Los Angeles, CA 90058 | \$50,000 | In support of the Food Bank's COVID-19 Emergency Response Program, which provides nutritious food to low-income children, seniors, adults and families who are impacted by the COVID-19 pandemic. |
| 7. | Pacific Palisades Taskforce Homelessness P.O. Box 331 Pacific Palisades, CA 90272 | \$5,000 | Funding will be used towards general operating expenses of Pacific Palisades Task Force on Homelessness. |
| 8. | Project Angel Food 922 Vine Street Los Angeles, CA 90038 | \$30,000 | In support of Project Angel Food's meal delivery program for individuals living with serious illnesses, as well as their expanded services in response to COVID-19. |
| 9. | Second Harvest Food Bank of Orange County 8014 Marine Way Irvine, CA 92618 | \$50,000 | Funds will be used to support Second Harvest's expanded food distribution |

GEORGE HOAG FAMILY FOUNDATION
Federal Excise Tax Return - Form 990-PF
June 2020 Grants

| | | | |
|------------|---|------------------|--|
| | | | programs for individuals and families impacted by COVID-19. |
| 10. | St. Francis Center 1835 S. Hope St. Los Angeles, CA 90015 | \$25,000 | In support of St. Francis Center's efforts to meet the increased need for critical hunger relief and other essential services during the COVID-19 pandemic. |
| 11. | Westside Family Health Center 3861 Sepulveda Boulevard Culver City, CA 90230 | \$10,000 | In support of Westside Family Health Center's general operating costs and as part of the ongoing COVID-19 emergency response. |
| | Total | \$285,000 | |

GEORGE HOAG FAMILY FOUNDATION
Federal Excise Tax Return - Form 990-PF
November 2020 Grants

| | | | |
|----|--|-----------------|--|
| 1. | The Achievable Foundation 5840 Uplander Way, Suite 101 Culver City,CA 90230 | \$20,000 | In support of the Achievable Health Center which provides primary health care, in-house neurology and mental health services to individuals with intellectual and developmental disabilities. |
| 2. | American Red Cross Los Angeles Region 1450 S. Central Ave. Los Angeles,CA 90021 | \$50,000 | Funding will be directed toward the Red Cross's disaster response to the ongoing wild fires burning throughout California; including shelter, food and case management for those impacted. |
| 3. | Angel Flight West 3161 Donald Douglas Loop South Santa Monica,CA 90405 | \$15,000 | Funds will support pilot recruitment and retention, community outreach, and continued transport of individuals with critical medical circumstances. |
| 4. | Boys & Girls Club of Metro Los Angeles 800 S. Figueroa Street, Suite 950 Los Angeles,CA 90017 | \$45,000 | Funding will support the Clubs COVID19 response, including online programs as well as preparations, staffing and safety supplies needed for transitioning back to in-person programming. |
| 5. | Caterina's Club 451 W. Lincoln Ave, Suite 251 Anaheim,CA 92805 | \$15,000 | Core operating support for the Feeding the Kids program which continues to provide meals and pantry staples for families in need during the pandemic. |
| 6. | Children Today 2951 Long Beach Blvd. Long Beach,CA 90806 | \$10,000 | Funds will provide childcare and supportive services for children and families experiencing homelessness or involved in the child welfare system in Long Beach. |
| 7. | Children's Dental Health Clinic 455 E. Columbia Street, Suite 32 Long Beach,CA 90806 | \$20,000 | Grant funding will help provide critical services during the COVID-19 pandemic: emergency dental treatment/surgeries for children and young adults seen in the Hospital Emergency Room. |
| 8. | City of Hope 1500 E Duarte Road Duarte,CA 91010 | \$30,000 | In support of the Patient Assistance Fund, which helps to alleviate the financial burdens experienced by the most vulnerable cancer patients and their families. |

GEORGE HOAG FAMILY FOUNDATION
Federal Excise Tax Return - Form 990-PF
November 2020 Grants

| | | | |
|-----|--|-----------|---|
| 9. | Court Appointed Special Advocates (CASA) of OC 1505 E. 17th Street, Suite 214 Santa Ana, California 92705 | \$15,000 | In support of the Mentor-Advocate Program, providing abused children and teens with mentoring and objective advocacy in court. |
| 10. | Extraordinary Families 221 N. Ardmore Avenue Los Angeles, CA 90004 | \$25,000 | Funding will be used to recruit, train and support foster and adoptive families in the midst of COVID19, with a focus on homes for high need or difficult to place children. |
| 11. | Families Forward 8 Thomas Irvine, CA 92618 | \$25,000 | In support of the Families Forward Housing Program, which provides homeless and at-risk families in Orange County with housing and supportive services. |
| 12. | FosterAll (formerly Child SHARE Program) 1544 West Glenoaks Blvd. Glendale, California 91201 | \$15,000 | Funds will be used towards the Foster Care Program, which recruits, secures and supports foster families for children in need throughout Los Angeles County. |
| 13. | French Hospital Medical Center Foundation 1911 Johnson Avenue San Luis Obispo, CA 93401 | \$15,000 | Funding will be used towards the Homeless Patient Support Program. |
| 14. | Goodwill Industries of Orange County 410 N. Fairview Street Santa Ana, CA 92703 | \$10,000 | In support of the Goodwill Industries of Orange County's Tierney Center for Veteran Services. |
| 15. | Habitat for Humanity of Greater Los Angeles 8739 Artesia Blvd. Bellflower, CA 90706 | \$20,000 | In support of Habitat's Disaster Recovery Program, which will provide repair and reconstruction services to Los Angeles County families who were impacted by the Creek and Woolsey. |
| 16. | Hathaway-Sycamores 100 W. Walnut, Suite 375 Pasadena, CA 91124 | \$10,000 | In support of the Transitional Independent Living program which provides housing, food, transportation and financial planning for young adults emancipated out of foster care. |
| 17. | Hoag Hospital Foundation (Melinda Hoag Smith Center for Healthy Living) 330 Placentia Ave., Suite 100 Newport Beach, California 92663 | \$100,000 | In support of the MHSCHL Community Crisis Response Team which will be providing health and psychosocial services and resources to vulnerable |

GEORGE HOAG FAMILY FOUNDATION
Federal Excise Tax Return - Form 990-PF
November 2020 Grants

| | | | |
|-----|--|-----------|---|
| | | | individuals and families in Orange County. |
| 18. | Hoag Hospital Foundation 330 Placentia Ave., Suite 100 Newport Beach, California 92663 | \$400,000 | Funds are in support of influenza vaccinations for Hoag employees, physicians and their families, as well as community members served through the Hoag Community Health Ministries. |
| 19. | Jenesse Center, Inc. 3761 Stocker Street, Suite 100 Los Angeles, California 90008 | \$25,000 | Funding will support Jenesse Center's Domestic Violence Housing First program which provides wrap around services for survivors in South Los Angeles. |
| 20. | Jovenes, Inc. 1208 Pleasant Avenue Los Angeles, CA 90033 | \$30,000 | Funding will support Jovenes' Emergency Shelter, Transitional Housing and supportive services programs for homeless men age 18-25. |
| 21. | Los Angeles House of Ruth 605 N. Cummings Ave. Los Angeles, CA 90033 | \$15,000 | In support of LAHR's Transitional Bridge Shelter and Services Program for homeless women and children who have experienced domestic violence. |
| 22. | Manna Conejo Valley Food Bank 3020 Crescent Way Thousand Oaks, CA 91362 | \$10,000 | In support of the choice-model food pantry and the expanded services needed to meet the higher demand to provide food for individuals and families in the Conejo Valley during the COVID-19 crisis. |
| 23. | Mar Vista Family Center 5075 S. Slauson Avenue Culver City, CA 90230 | \$5,000 | Funding will be directed towards general operating expenses related to the Center's response to COVID-19. |
| 24. | Mariposa 200 E Katella Ave Orange, California 92867 | \$15,000 | In support of Mariposa's counseling program, which provides trauma informed individual, group and family counseling for low-income individuals. |
| 25. | Miriam's House LA 4419 Inglewood Blvd. Los Angeles, CA 90066 | \$40,000 | Operational support of Miriam's House, which provides housing and comprehensive services for women in substance abuse recovery and their children. |

GEORGE HOAG FAMILY FOUNDATION
Federal Excise Tax Return - Form 990-PF
November 2020 Grants

| | | | |
|-----|---|----------|---|
| 26. | MOMS Orange County 1128 W. Santa Ana Blvd Santa Ana,CA 92703 | \$20,000 | Funding will provide maternal mental health services and resources for pregnant women and new mothers in Orange County. |
| 27. | Ocean Institute 24200 Dana Point Harbor Drive Dana Point, CA 92629 | \$5,000 | In support of Ocean Institute's general operating costs. |
| 28. | One Voice 1228 15th Street, Suite C Santa Monica,CA 90404 | \$10,000 | In support of the Family Assistance Program, which provides low income families with emergency rental assistance, food and medical care ensuring that these families remain stable. |
| 29. | Orange County Adult Achievement Center DBA My Day Counts 225 West Carl Karcher Way Anaheim,CA 92801 | \$20,000 | Funds will be used towards the purchase of Sensory Room equipment and adaptive technology and communicative equipment for the Life Unlimited program participants, individuals who are nonverbal or have limited motor-function. |
| 30. | Orange County Conservation Corps 1853 N Raymond Ave Anaheim,CA 92801-1117 | \$15,000 | In support of OCCC's job training, education and certification programs, resource assistance, case management and supportive services for low-income young adults. |
| 31. | Orange County Rescue Mission 1 Hope Drive Tustin,CA 92782 | \$20,000 | In support of Village of Hope, a comprehensive, 250-bed, transitional housing, back-to-work program for homeless men, women, and children on a five-acre campus in Tustin, CA. |
| 32. | Orangewood Foundation 1575 East 17th Street Santa Ana,CA 92705 | \$20,000 | In support of the Orangewood Resource Center (ORC) and the Rising Tide Communities which provide transitional housing, case management, food, hygiene, healthcare, mental health and supportive services to emancipated foster youth and other unsheltered transitional aged youth. |

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| 33. | Painted Turtle 1300 4th Street, Suite 300 Santa Monica,CA 90401 | \$15,000 | Funds will be used towards week-long medical specialty summer camp sessions and year round family programs for California children dealing with serious illnesses. (Currently being run virtually.) |
| 34. | Pediatric Adolescent Diabetes Research and Education (PADRE) Foundation 1201 West La Veta Avenue Orange,California 92868 | \$10,000 | In support of the Type 1 Diabetes Education and Support Program which includes workshops and groups for low-income families. |
| 35. | Seneca Family of Agencies 233 S. Quintana Drive Anaheim Hills, CA 92807 | \$5,000 | In support of Seneca's foster care, permanency and mental health work. |
| 36. | Serving People In Need, Inc. 151 Kalmus Drive, H-2 Costa Mesa,California 92626 | \$20,000 | In support of the GAPP program which provides move-in costs to housing, rental assistance, cash management and support services for homeless families with children. |
| 37. | SLO Noor Foundation 1428 Phillips Lane, Suite B-4 San Luis Obispo,CA 93401 | \$20,000 | In support of SLO Noor's Preventive Care Program for uninsured adults with or at risk of chronic cardiovascular and metabolic conditions that are highly prevalent in low-income, Hispanic, and Spanish-speaking populations. |
| 38. | SOS (Share Our Selves) 1550 Superior Avenue Costa Mesa,California 92627 | \$50,000 | Funding will be directed towards aiding vulnerable individuals and families with financial assistance to bridge housing expenses during COVID19 pandemic. |
| 39. | South County Outreach 7 Whatney, Suite B Irvine,CA 92618 | \$15,000 | In support of SCO's Hunger and Homeless Prevention Program, which provides case management, rental assistance, food and additional resources to at-risk Orange County residents. |
| 40. | Southern California Grant Makers 1000 Alameda St # 230 Los Angeles, CA 90012 | \$5,000 | Operational support of Southern California Grantmakers. |
| 41. | The People Concern 2116 Arlington Ave., Suite 100 Los Angeles,CA 90018 | \$100,000 | Funding will be divided between expanding TPC's Respite Care Program for homeless patients released from the hospital and the Sojourn Domestic Violence Project. |

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| 42. | The Priority Center 1940 W. Deere Avenue Santa Ana, CA 92705 | \$5,000 | Funding will be directed towards the Bright Futures Program. |
| 43. | The UCLA Foundation UCLA Health Sciences Development P.O. Box 7145 Pasadena,CA 91109-9903 | \$50,000 | Funding will support advanced education opportunities and leadership training scholarships for UCLA nurses. |
| 44. | Upward Bound House 1104 Washington Ave. Santa Monica,CA 90403 | \$35,000 | In support of Upward Bound's General Family Needs Fund for expanded services and emergency financial assistance needed as a direct result of the ongoing COVID-19 pandemic. |
| 45. | Violence Intervention Program Community Mental Health Center 1721 Griffin Ave Los Angeles,CA 90031 | \$20,000 | Operational support of Violence Intervention Program which provides mental health and supportive services to victims of child abuse, neglect and sexual assault. |
| 46. | West Coast Care Foundation 8218 Belford Avenue Los Angeles, CA 90045 | \$5,000 | General operating support of the West Coast Care Foundation. |
| 47. | Westside Family Health Center 3861 Sepulveda Blvd Culver City,CA 90230 | \$50,000 | Funding will be used towards the Center's healthcare and safety net services for low-income and uninsured individuals. |
| 48. | Westside Food Bank 1710 22nd Street Santa Monica,CA 90404 | \$30,000 | Funds will be used towards the purchase of food to be distributed to individuals and families in need on the Westside of Los Angeles. |
| 49. | Westside Infant Family Network (WIN) 3701 Stocker Street, Suite 204 Los Angeles,California 90008 | \$25,000 | Funding will provide mental health services and intensive case management to at risk families with young children (0-5). |
| 50. | Wise and Healthy Aging 1527 4th Street, 2nd Floor Santa Monica,California 90401 | \$40,000 | Core operating support to provide safety net services and to address food insecurity and social isolation for the elderly on the Los Angeles Westside. |

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| 51. | YWCA of Glendale 735 E. Lexington Drive Glendale, California 91206 | \$10,000 | Operational support of the YWCA Glendale's Domestic Violence Program which includes emergency housing, counseling and supportive services for women and children who are victims of domestic violence. |
| | Total Requests | \$1,600,000 | |